

# Comparative Study of Convolutional Neural Networks- based Algorithm for Fine- grained Car Recognition

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# Comparative Study of Convolutional Neural Networks-based Algorithm for Fine-grained Car Recognition

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Keywords: Convolutional Neural Networks Model, Object Recognition, Vision Machines.

Abstract: The use of the Deep-Learning model for object recognition in vision machines has been widely applied. Convolutional Neural Network (CNN) is one of the algorithms which has achieved a significant progress in object recognition task. An algorithm that has good accuracy and speed is required to recognize a car specification. This research presents a comparative study of several CNN models for car recognition. This study is a continuation of previous study about data augmentation in car image recognition using ResNet architecture. In this study, the CNN architectures which are used in comparison, are ResNet, SqueezeNet, and EfficientNet. The aim of this study is to find an architecture with optimal performance in car recognition. The dataset used is a Cars Dataset provided by Stanford University. The methods consist of data pre-processing, model training and hyper parameter tuning, inferences and comparison. The metrics which were used during the experiments are accuracy, model size, and speed. Training of each model was performed using computer with the same specification. The experimental results indicate that EfficientNet model gives the best result among other models in the context of accuracy, model size, and speed.

## 1 INTRODUCTION

The development of technology nowadays runs so fast, many vision machines have supported human life. Convolutional Neural Networks (CNNs) is an algorithm that has achieved significant progress for vision machine's tasks, which are image classification, objective detection, and semantic segmentation. CNN algorithm has a strong ability in feature extraction for an image. The algorithm is often used in object recognition task, including car model recognition.

Using vision machines, smart transportation can be implemented in daily life. An intelligent transportation system is an implementation of *IoT* (*Internet of Things*) which is integrated with information technology and an automatic control system. This system can be implemented in the road traffic management system to control the traffic in real-time more accurately (Ke, Shi, Guo, & Chen, 2019). An electronic police system is an implementation of smart transportation, which uses

technology to identify vehicle license plates. The identification of license plate can be used to recognize vehicle that violates traffic rules.

In recognizing vehicles more accurately, the license plate identification is not enough, it should be equipped with a vehicle recognition system (Ke & Y. Zhang, Fine-grained vehicle type detection and recognition based on dense attention network, 2020). CNN model is required in object detection with good accuracy and fast speed. The model should still work in traffic disorderly conditions. Based on the requirements, in this study, some object recognition using CNN algorithms will be explored and evaluated according to the accuracy, speed, and model size of each algorithm.

This research is a continuation of a previous study about data augmentation in car image recognition using ResNet architecture (Sanjaya & Ayub, 2020). The aim of this study is to obtain a method to implement CNN architectures in car recognition, and to compare the architectures based on accuracy, speed, and model size metrics. The CNN

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architectures used in the comparison, are ResNet, SqueezeNet, and EfficientNet.

The CNN architectures are chosen regarding the advantages of each architecture in object recognition. ResNet architecture has good stability and accuracy (Zhang & Schaeffer, 2020), SqueezeNet architecture has a small model size, so the architecture is suitable for use with IoT (Lee, Ullah, Wan, Gao, & Fang, 2019). EfficientNet architecture has good accuracy and speed with efficient processing power (Tan & Le, 2019).

The dataset used is the same dataset used in a previous study (Sanjaya & Ayub, 2020), which is a Cars Dataset provided by Stanford University, which contains 16,185 images of 196 car classes. The composition training data and test data is fifty-fifty. The result of this study is a CNN deep learning model for each architecture, that can classify a car model and performance analysis of each model.

The initial hypothesis of each architecture in object recognition will be explained as follows. CNN model of ResNet 152 architecture has a deep layer, so it should recognize complex features properly (He, Zhang, Ren, & Sun, 2016). It can be assumed that a model based on this architecture would have better accuracy. Regarding the deep layer, this model would have less good speed and model size.

CNN model of SqueezeNet architecture has a wide layer so it should recognize more features with not deep layers (Lee, Ullah, Wan, Gao, & Fang, 2019). It can be assumed that a model based on this architecture would have a good model size and speed training with trade-off accuracy.

CNN model of EfficientNet architecture is obtained from neural network searching against efficiency and accuracy (Tan & Le, 2019). It can be assumed that a model based on this architecture would have good and efficient performance.

## 2 METHODS

### 2.1 Research Design

The study uses data augmentation in the previous study (Sanjaya & Ayub, 2020) to analyze the three architectures. Performance of CNN model of three architectures would be measured based on model size, speed, and accuracy metrics. Figure 1 shows the stages of the methodology. The flow of the processes is input data, data preprocessing, training model, hyper parameter tuning, inferences and comparison.

Data input to this study is car images from Stanford Cars Dataset (Krause, Stark, Deng, & Fei-

Fei, 2013). Data preprocessing is required to transform raw image data into prepared data. Data preprocessing is performed using data augmentation, that are random crop, rotate, and mixup (Sanjaya & Ayub, 2020).

CNN model based on ResNet, SqueezeNet, dan EfficientNet architectures is used as a feature extraction process. The model will be optimized using hyper parameter tuning, which focused on the optimal learning rate parameter. Statistical analysis will be used in comparative analysis to determine the optimal model based on accuracy, speed, and model size metrics.

Accuracy metric in classification is measured based on formula (1) (Han, Kamber, & Pei, 2012):

$$\text{accuracy} = \frac{TP + TN}{P+N} \quad (1)$$

Where: TP is the number of true positives; TN is the number of true negatives; P is the number of positives tuples, and N is the number of negative tuples.

Speed metric is required to compare time efficiency and computation power for each CNN model (Ning, Guan, & Shen, 2019). Speed metric is measured from the time needed for executions in minutes.

Model size metric in deep learning can be measured based on the width and the depth of neural network. The metric is important, when model size increases caused of the expansion of input size. Adjustment of image data and model in memory between CPU and GPU causes the training time to increase. There is a trade-off between speed and model size (Deng, Li, Han, Shi, & Xie, 2020).

### 2.2 Hardware Specification

In order to give balanced results, hardware specification used in model training and execution should have the same strength, mainly GPU, CPU, and RAM. Table 1 shows the hardware specification.

### 2.3 Model Training

In order to give balanced results, hardware specification used in model training and execution should have the same strength, mainly GPU, CPU, and RAM.

Hyper-parameter tuning will be explored in the beginning of experiments. Deep learning parameters which tuned are learning rate and batch size (Smith, 2018). In contrast to learning rate, batch size will affect time of training model, because batch size is limited by hardware memory.

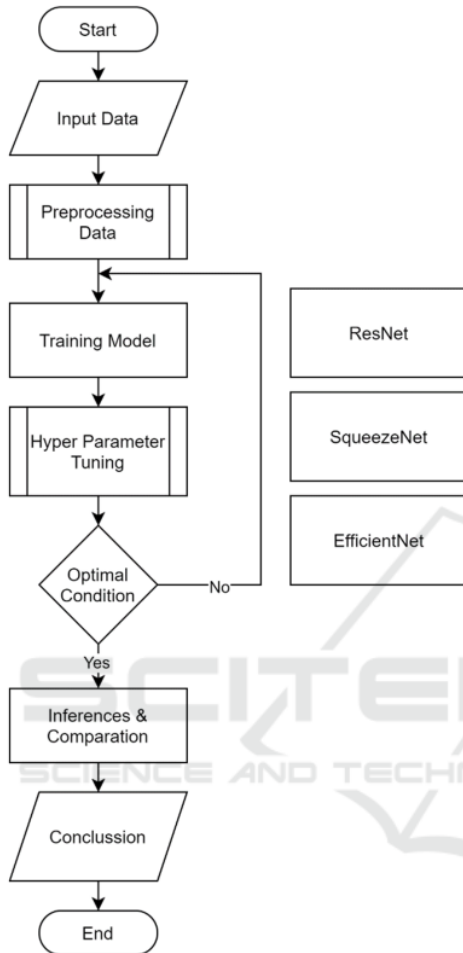


Figure 1: Research Design

Smith recommended to using the largest batch size that could be accommodated by hardware memory. The recommendation allows to utilize larger learning rate (Smith, 2018). When training is executed on a server with several GPUs, batch size total is calculated as batch size on each GPU multiplied by the number of GPU.

Based on the batch size, experiments are performed from the largest one until the smallest one, until Out-of-Memory (OOM) error is not occurred. The experiments are executed from batch size 248 and decreased by 24 per step up to no OOM error. The results on ResNet 152 model can be seen on Table 2.

From the Table 2, the hardware specification on

Table 1 is only able to run the training with batch size 32. This is caused by image resolution size (300px to 1500px) and ResNet 152 architecture, which require large memory size.

Underfitting is a condition when machine learning model cannot decrease error, both in training and testing phase. On the other side, overfitting is a condition when machine learning model is too strong, so generalization error increases (Smith, 2018). Figure 2 shows trade-off between underfitting and overfitting. If learning rate (LR) is too small, then overfitting will be happened. Large learning rate will support training regularization, but if learning rate is too large, training will be cluttered.

The results of batch size hyper parameter tuning of SqueezeNet and EfficientNet model can be seen on Table 3. On the Table 3, the hardware specification on Table 1 is only able to run the training with batch size 56. ResNet 152 model are different from SqueezeNet model, which has a wide CNN architecture, so SqueezeNet can capture features with smaller memory. EfficientNet model has the same batch size as SqueezeNet.

Table 1: Batch size hyper-parameter tuning of ResNet 152.

#	Batch size	Time (us)
1	248	OOM
2	224	OOM
3	200	OOM
4	176	OOM
5	152	OOM
6	128	OOM
7	104	OOM
8	80	OOM
9	56	OOM
10	32	Success

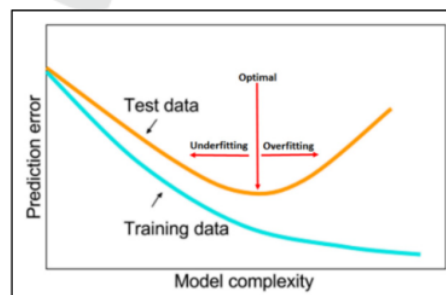


Figure 2: Model Complexity (Smith, 2018).

Table 2: Hardware specification.

Name of GPU	GTX 1080 Max - Q			
Memory Type	Memory Capacity	GPU Clock	Memory Clock	Boost Clock
GDDR5X	8192MB	1297 MHz	1251 MHz	1436 MHz
Name of CPU	Intel Core i7 7700HQ			
Lithography technology	Clock Speed	Cores		Threads
14 nm	2.80 GHz	4		8
Name of RAM	SAMSUNG 19FAC364			
Type	Channel	Memory Capacity	Maximum Bandwidth	
DDR4	Dual	16 GB	1200 MHz	

Table 3: Batch size hyper-parameter tuning of SqueezeNet and EfficientNet.

#Exp.	Batch size	SqueezeNet	EfficientNet
1	248	OOM	OOM
2	224	OOM	OOM
3	200	OOM	OOM
4	176	OOM	OOM
5	152	OOM	OOM
6	128	OOM	OOM
7	104	OOM	OOM
8	80	OOM	OOM
9	56	Success	Success
10	32	Success	Success

In Figure 3, an optimal learning rate interval happened when the loss function declined quickly, so the best learning rate resulted from the first experiment is an area that has small loss, that is, from 1e-02 to 1e-01. The result of training using those learning rates can be seen on Table 4.

Table 4: Experiment of training LR range for Resnet 152-

Epoch	Train Loss	Valid Loss	Accuracy
1	4.325618	3.377519	0.240172
2	3.174334	3.768307	0.180590
3	3.214476	3.275414	0.264128
4	2.790483	2.558635	0.358722
5	2.484006	2.819357	0.330467
6	2.116244	2.070632	0.455774
7	1.857780	1.635659	0.567568
8	1.557437	1.435014	0.610565
9	1.276098	1.072250	0.700246
10	1.024269	0.921169	0.748157
11	0.793735	0.812245	0.773956
12	0.634392	0.745959	0.787469
13	0.519093	0.704684	0.800983
14	0.503253	0.703171	0.802211

### 3 RESULTS AND DISCUSSION

At each batch, neural network would be trained with increased learning rate exponentially. Training batch was divided into two different experiments in order to obtain an optimal learning rate interval (Smith, 2017).

Hyper parameter tuning experiment of learning rate on ResNet 152 model is executed using fast.ai package (function lr find()). Figure 3 shows visualization between loss and learning rate from the first experiment.

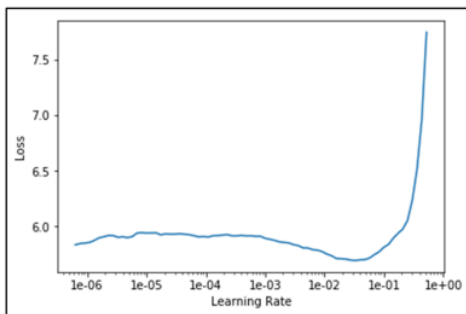


Figure 3: Learning rate of ResNet 152 model (first experiment).

In Table 4, model training is performed until epoch 14, because the result after epoch 14 trends to convergent. The training resulted good accuracy performance.



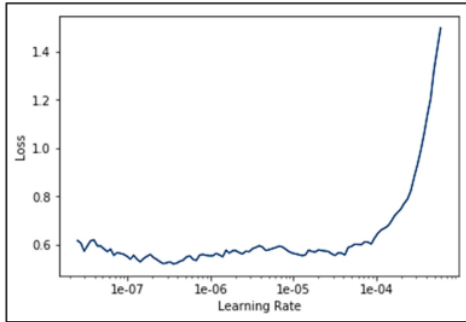


Figure 4: Learning rate of ResNet 152 model (second experiment).

Figure 4 shows results from second experiment training of ResNet 152, with LR from  $1e-7$  to  $1e-6$ . The result of training using those learning rate can be seen on Table 5, which shows better accuracy (82.55%).

Table 5: Experiment of training LR range for Resnet 152.

Epoch	Train Loss	Valid Loss	Accuracy
1	0.966993	1.169178	0.699017
2	1.463537	1.227771	0.680590
3	1.152164	0.982462	0.732187
4	0.871343	0.710956	0.798526
5	0.589137	0.630260	0.818796
6	0.456042	0.602334	0.825553

Model evaluation was conducted using Test Time Augmentation (TTA). TTA performed data augmentation as neural transfer style, flipping images, cropping to test dataset. After the model predicted class label of augmented test data, scores were collected to calculate final prediction of origin images (Nalepa, Myller, & Kawulok, 2020). The results can be seen in Table 6.

For further observations, experiments were conducted on images which are top losses and most confused to the model. Classification results of top losses on ResNet 152 model can be seen in Figure 5.

Table 6: Model evaluation of ResNet 152.

Metrics	Value
Accuracy (%)	82.95
Model size (MB)	208.06
Speed (Minutes)	24:45

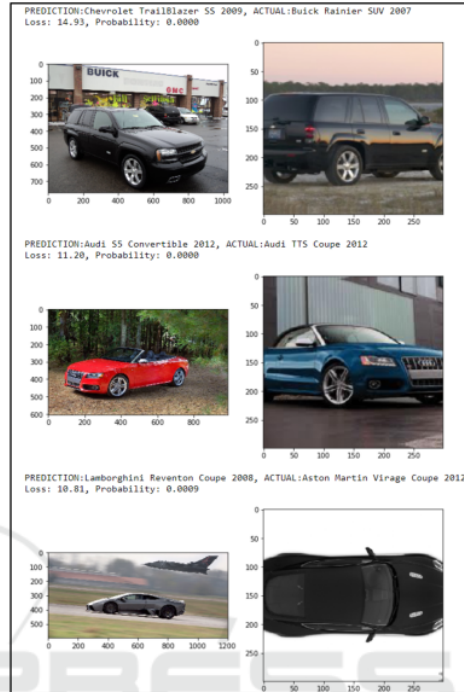


Figure 5: Classification result of ResNet 152 top losses data.

Figure 6 shows classification results of most confused of Resnet 152 model. In Figure 7, the best learning rate resulted from the first experiment of SqueezeNet model is an area that has small loss, that is from  $1e-02$  to  $1e-01$ . The result of training using those learning rate can be seen on Table 7.

In Table 7, model training is performed until epoch 14, because the result after epoch 14 trends to convergent. The training resulted bad accuracy performance. The second experiment was executed to get better accuracy. Figure 8 shows the best learning rate resulted from the second experiment, which is an area that has small loss, that is from  $1e-06$  to  $1e-05$ . The results of second experiment training can be seen on Table 8, which shows better accuracy (57.24%).

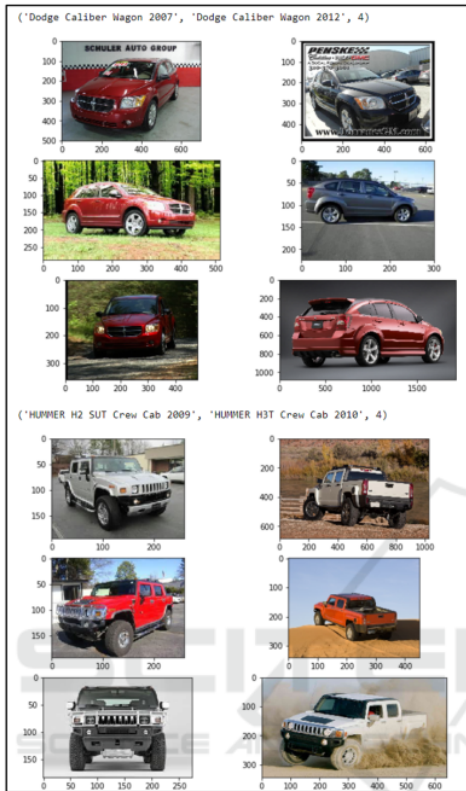


Figure 6. Classification result of ResNet 152 most confused data.

Table 7: Experiment of training LR range for SqueezeNet-1

Epoch	Train Loss	Valid Loss	Accuracy
1	6.163468	4.424913	0.100737
2	4.999869	3.619730	0.191646
3	4.526335	3.339163	0.245086
4	4.119049	2.996984	0.306511
5	3.798199	2.778760	0.343980
6	3.601222	2.588148	0.378378
7	3.468006	2.527634	0.398034
8	3.342122	2.387317	0.426290
9	3.169286	2.298042	0.438575
10	3.010396	2.204117	0.472973
11	2.875496	2.100137	0.496314
12	2.724763	2.057748	0.507985
13	2.689825	2.023018	0.516585
14	2.626248	2.030500	0.511671

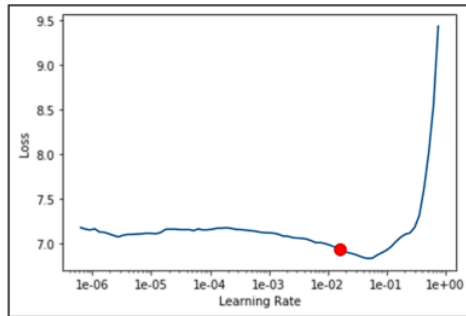


Figure 7: Learning rate of SqueezeNet model (first experiment).

Table 8: Experiment of training LR range for SqueezeNet – 2.

Epoch	Train Loss	Valid Loss	Accuracy
1	2.595495	1.943847	0.533784
2	2.469271	1.789647	0.562654
3	2.466382	1.779467	0.565725
4	2.489725	1.771083	0.567568
5	2.470081	1.776281	0.565111
6	2.469402	1.777249	0.572482

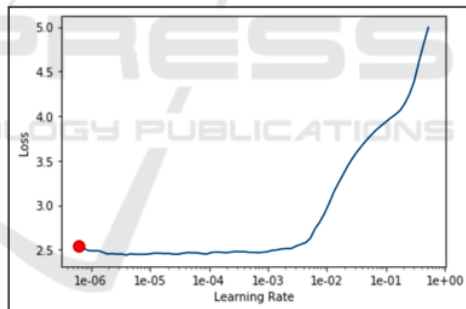


Figure 8: Learning rate of SqueezeNet model (second experiment).

Table 9: Model evaluation of SqueezeNet.

Metrics	Value
Accuracy (%)	57.22
Model size (MB)	10.06
Speed (minutes)	14:11

Table 9 shows model evaluation result of SqueezeNet. In Figure 9, the best learning rate resulted from the first experiment of EfficientNet model is an area that has small loss, that is from 1e-03 to 1e-02. The result of training using those learning rate can be seen on Table 10.

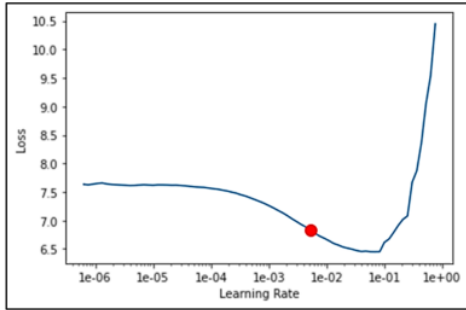


Figure 9: Learning rate of EfficientNet model (first experiment).

In Table 10, model training is performed until epoch 14, because the result after epoch 14 trends to convergent. The training resulted good accuracy performance. The second experiment was executed to get better accuracy.

Figure 10 shows the best learning rate resulted from the second experiment, which is an area that has small loss, that is from 1e-06 to 1e-05. The results of second experiment training can be seen on Table 11, which shows better accuracy (88.57%).

Table 10: Experiment of training LR range for EfficientNet-1.

Epoch	Train Loss	Valid Loss	Accuracy
1	3.895927	2.443662	0.378378
2	3.164658	2.917843	0.336609
3	3.153092	3.931816	0.228501
4	3.112244	2.622782	0.362408
5	2.836884	2.377069	0.420147
6	2.558743	1.697689	0.560197
7	2.310468	1.386264	0.641892
8	2.102232	1.067460	0.730344
9	1.867059	0.899833	0.758600
10	1.693708	0.692391	0.818796
11	1.510015	0.599369	0.856265
12	1.378668	0.540748	0.872850
13	1.327494	0.506629	0.883907
14	1.297732	0.505953	0.885749

Table 11: Experiment of training LR range for EfficientNet-2

Epoch	Train Loss	Valid Loss	Accuracy
1	1.282915	0.509046	0.883907
2	1.274822	0.513583	0.884521
3	1.279225	0.506601	0.885135
4	1.275859	0.510035	0.884521
5	1.283290	0.505331	0.885749
6	1.270210	0.509779	0.884521

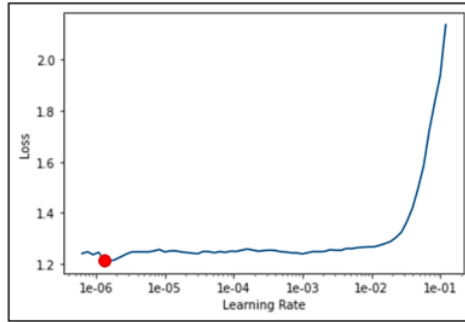


Figure 10: Learning rate of EfficientNet model (second experiment).

Table 12 shows model evaluation result of EfficientNet. Table 13 shows the results of all experiments of three model. Table 13 indicates that SqueezeNet has the best result for two metrics, that are model size and speed. Architecture SqueezeNet is very suitable to be applied in real time application, which accuracy is not important. As an example, SqueezeNet can be implemented in IoT (Internet of Things) applications, which have a limited memory and processing power in classification tasks.

Table 12: Model evaluation of EfficientNet.

Metrics	Value
Accuracy (%)	84.88
Model size (MB)	107.201
Speed (minutes)	23:55

Table 13: Results summary of experiments of each model.

Metrics	ResNet	SqueezeNet	EfficientNet
Accuracy (%)	82.95	57.22	84.88
Model Size (MB)	208.06	10.06	107.201
Speed (Minutes)	24:45	14:11	23:55

EfficientNet has better accuracy, model size, and speed compared to ResNet as shown in Table 13. The best performance is achieved by EfficientNet, this model is very suitable for classification tasks, which required high accuracy.

## 4 CONCLUSIONS

Implementation of three CNN models for car recognition task has been performed and evaluated using TTA. The experiment result shows that



accuracy of Resnet 152 model is 82.97%. The worst accuracy (57.22%) is obtained by SqueezeNet model and the best accuracy (84.88%) is achieved by EfficientNet model. CNN model of EfficientNet architecture achieved the optimal results, which can be seen from the accuracy, model size, and speed metrics. SqueezeNet obtained the best model size and speed, so SqueezeNet is suitable for real time implementation with trade-off accuracy. Further research is needed to explore the optimization of SqueezeNet to obtain better performance.

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